



***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

In re application of: Niel F. Starksen	Attorney Docket No.: GDS 1013-1 (formerly 016886-001300)
Application No.: 10/656,797	Examiner: Unassigned
Filed: September 4, 2003	Group: 3732
Title: Devices and Methods for Cardiac Annulus Stabilization and Treatment	Customer Number: 22470

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56.

Enclosed with this statement is a Form PTO/SB/08A. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. 609.

Also enclosed with this statement is a copy of each cited document as required by 37 C.F.R. 1.98. However, since this application was filed after June 30, 2003, copies of U. S. Patents and U. S. Patent Application Publications are not enclosed. (See O. G. Notice of August 5, 2003.)

This statement should be considered under 37 C.F.R. 1.97(b) because it is being filed before the mailing date of the first Office Action on the merits.

Please note **claim 90** of Reference A16, U.S. Patent No. **6,629,534**, Figs. 78-82 and col. 39, line 41-col. 40, line 18.

***Fee Authorization.*** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 50-0869 (GDS 1013-1). A duplicate copy of this authorization is enclosed.

Respectfully submitted,  
Haynes Beffel & Wolfeld LLP

Date: 18 November 2004

By: 

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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
Application Number		10/656,797			
Filing Date		September 4, 2003			
First Named Inventor		Niel F. Starksen			
Group Art Unit		3732			
Examiner Name		Unassigned			
Attorney Docket Number		GDS 1013-1			
Sheet	1	of	3		

U.S. PATENT DOCUMENTS						
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	A1	5,522,873		Jackman et al.	06-04-1996	
	A2	5,716,370		Williamson, IV et al.	02-10-1998	
	A3	5,769,812		Stevens et al.	06-23-1998	
	A4	5,868,733		Ockuly et al.	02-09-1999	
	A5	5,885,238		Stevens et al.	03-23-1999	
	A6	5,888,240		Carpentier et al.	03-30-1999	
	A7	6,074,401		Gardiner et al.	06-13-2000	
	A8	6,149,658		Gardiner et al.	11-21-2000	
	A9	6,514,265		Ho et al.	02-04-2003	
	A10	6,551,332		Nguyen et al.	04-22-2003	
	A11	6,602,288		Cosgrove et al.	08-05-2003	
	A12	6,607,541		Gardiner et al.	08-19-2003	
	A13	6,613,059		Schaller et al.	09-02-2003	
	A14	6,619,291		Hlavka et al.	09-16-2003	
	A15	6,626,899		Houser et al.	09-30-2003	
	A16	6,629,534		St. Goar et al.	10-07-2003	Figs 78-82; 39/41-40/18
	A17	6,641,593		Schaller et al.	11-04-2003	
	A18	6,702,826		Liddicoat et al.	03-09-2004	
	A19	6,718,985		Hlavka et al.	04-13-2004	
	A20	2002/0087169		Brock et al.	07-04-2002	

FOREIGN PATENT DOCUMENTS								
Examiner Initials <sup>*</sup>	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1	WO	94/03227		C. Stefanadis	02-17-1994		
	B2	WO	98/07375		Trustees of Columbia U.	02-26-1998		
	B3	WO	02/03892		Paul A. Spence	01-17-2002		
	B4							
	B5							
	B6							
	B7							
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Examiner Signature	Date Considered
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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Number	Kind Code <sup>2</sup> (if known)			
	A21	2002/0095167		Liddicoat et al.	07-18-2002	
	A22	2002/0161378		Downing	10-31-2002	
	A23	2002/0173841		Ortiz et al.	11-21-2002	
	A24	2003/0074012		Nguyen et al.	04-17-2003	
	A25	2003/0078603		Schaller et al.	04-24-2003	
	A26	2003/0093118		Ho et al.	05-15-2003	
	A27	2003/0130731		Vidlund et al.	07-10-2003	
	A28	2003/0199974		Lee et al.	10-23-2003	
	A29	2004/0019378		Hlavka et al.	01-29-2004	
	A30					
	A31					
	A32					
	A33					
	A34					
	A35					
	A36					
	A37					
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	A40					

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	B11							
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